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Attorney Docket No. GIST-005/01US

PATENT

Express Mail Label Number:

EL 955493790 US

Date of Deposit:

July 19, 2002

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Date: July 19, 2002

By:

Sherry Duncan Bitler
Sherry Duncan Bitler

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUL 24 2002

In re application of Roth et al.

Serial No.: 10/087,516

Examiner: [Not Assigned]

Technology Center 2100

Confirmation No.: 4083

Art Unit: 2171

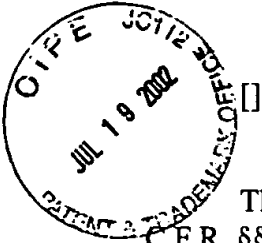
Filed: 03/01/2002

FOR: SYSTEM AND METHOD FOR GENERATING A RECOMMENDATION GUIDE FOR USE
WITH AN EPGCommissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.



Please charge \$___ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

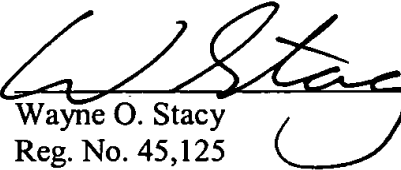
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: July 19, 2002

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Respectfully submitted,
COOLEY GODWARD LLP

By:


Wayne O. Stacy
Reg. No. 45,125

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Date: July 19, 2002

By: Sherry Duncan Bitler
Sherry Duncan Bitler**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Roth et al.

Serial No.: 10/087,516

Examiner: [Not Yet Assigned]

Confirmation No.: 4083

Art Unit: 2171

Filed: 03/01/2002

For: SYSTEM AND METHOD FOR GENERATING A RECOMMENDATION GUIDE FOR USE
WITH AN EPGCommissioner for Patents
Washington, D.C. 20231**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.



- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) ____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed are copies of pending patent Application Serial Nos.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

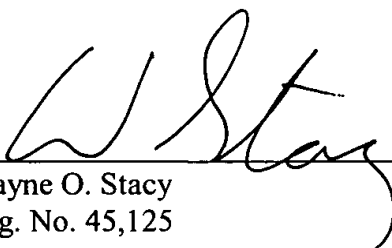
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: July 19, 2002

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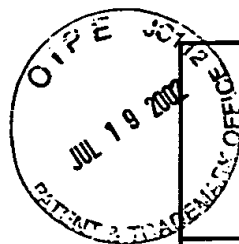
By:


Wayne O. Stacy
Reg. No. 45,125

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PTO/SB/08A (08-00)



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/087,516
Filing Date	03/01/2002
First Named Inventor	Roth et al.
Group Art Unit	2171
Examiner Name	[Not Yet Assigned]
Attorney Docket Number	GIST-005/01US

Sheet	1	of	2
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
		4,496,171		Cherry	01/29/1985
		5,541,738		Mankovitz	07/30/1996
		5,559,548		Davis et al.	09/24/1996
		5,576,755		Davis et al.	11/19/1996
		5,579,055		Hamilton et al.	11/26/1996
		5,585,866		Miller et al.	12/17/1996
		5,589,892		Knee et al.	12/31/1996
		5,629,733		Youman et al.	05/13/1997
		5,635,978		Alten et al.	06/03/1997
		5,731,844		Rauch et al.	03/24/1998
		5,734,786		Mankovitz	03/31/1998
		5,781,246		Alten et al.	07/14/1998
		5,801,787		Schein et al.	09/01/1998
		5,815,145		Matthews, III	09/29/1998
		5,822,123		Davis et al.	10/13/1998
		5,900,915		Morrison	05/04/1999
		5,940,073		Klosterman et al.	08/17/1999
		5,959,688		Schein et al.	09/28/1999
		5,986,650		Ellis et al.	11/16/1999
		6,002,394		Schein et al.	12/14/1999
		6,005,562		Shiga et al.	12/21/1999
		6,005,597		Barrett et al.	12/21/1999
		6,014,184		Knee et al.	01/11/2000
		6,018,327		Nakano et al.	01/25/2000
		6,025,837		Matthews, III et al.	02/15/2000
		6,061,097		Satterfield	05/09/2000
		6,064,376		Berezowski et al.	05/16/2000
		6,075,551		Berezowski et al.	06/13/2000
		6,088,722		Herz et al.	07/11/2000
		6,130,726		Darbee et al.	10/10/2000
		6,133,909		Schein et al.	10/17/2000
		6,151,059		Schein et al.	11/21/2000
		6,160,549		Touma et al.	12/12/2000

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

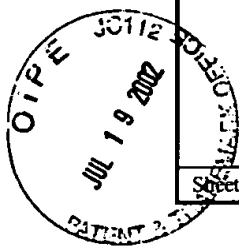
¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

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PTO/SB/08A (08-00)



Substitute for form 1449B/PTO				Complete if Known	
				Application Number	10/087,516
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	03/01/2002
				First Named Inventor	Roth et al.
				Group Art Unit	2171
				Examiner Name	[Not Yet Assigned]
Sheet	2	of	2	Attorney Docket Number	GIST-005/01US

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁴
		Office ¹	Number ²	Kind Code ³ (if known)			
		WO	99/29100		Loyd	06/10/1999	
		WO	99/45700		Thomas et al.	09/10/1999	
		WO	99/45702		Knudson et al.	09/10/1999	
		WO	99/56466		Knudson et al.	11/04/1999	
		WO	99/56473		Knudson et al.	11/04/1999	
		WO	99/60782		Darbee et al.	11/25/1999	
		WO	00/02380		Boylan et al.	01/13/2000	
		WO	00/49801		Yuen et al.	08/24/2000	
		WO	00/67473		Simpson et al.	11/09/2000	
		WO	00/79798A1		Forrer et al.	12/28/2000	
		WO	01/19086A2		Martens et al.	03/15/2001	
		WO	01/19086A3		Martens et al.	03/15/2001	
		WO	01/22719A2		Moore et al.	03/29/2001	
		WO	01/22719A3		Moore et al.	03/29/2001	
		WO	01/50743A1		Reichardt et al.	07/12/2001	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		SMYTH B. et al: "Surfing the Digital Wave Generating Personalized TV Listings Using Collaborative, Case-Based Recommendation" International Conference, 561-571, July 1999 (1999-07), XP-001030090	
		International Search Report for PCT/US 01/29295, date of mailing April 10, 2002	

Examiner Signature		Date Considered	
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¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

² For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁴ Applicant is to place a check mark here if English language Translation is attached.

¹ Unique citation designation number.

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